



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/676,543	10/01/2003	Hee Gap Park	STANF.133A	7667
20995	7590	09/09/2005	EXAMINER	
KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614			UNELUS, ERNEST	
			ART UNIT	PAPER NUMBER
			2828	

DATE MAILED: 09/09/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/676,543

Applicant(s)

PARK ET AL.

Examiner

Ernest Unelus

Art Unit

2828

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01 October 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-38 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-12, 14-20, 22-26 and 28-38 is/are rejected.
- 7) ☒ Claim(s) 13, 21, and 27 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 01 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>03/22/2004</u> . | 6) <input type="checkbox"/> Other: _____ |

1.

DETAILED ACTION

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-6, 10-12, 19-20, 28-33, and 37-38 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1, is directed to a method of (stabilizing the mean wavelength of light generated by a superfluorescent fiber source (SFS)), but includes limitations directed to the SFS. Claim 1 crosses two statutory classes of invention in that it is directed to neither a process nor a device.

Claims 2-6 are included for their dependence on claim 1.

Claims 10, 11, 19, 20, 28, 29, and 37-38 include “+0.5ppm”. It is not possible to ascertain a range since there is no indication of a starting point. If applicant means the range to be 0+-0.5ppm then it is unclear how -0.5ppm could be possible. Appropriate clarification is required.

Claims 30-33 are included for their dependence on claim 28.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-3, 7-9, 12, 16, and 34-36 are rejected under 35 U.S.C 102(b) as being anticipated by Wysocki et al. (5,177,562).

With respect to claim 1 and 7, Wysocki discloses an active and low-power laser stabilization comprising of a superfluorescent fiber source (1110) having a first end (112), second end (114), a fiber length (col. 11, lines 45-50), an optical coupler (1118), and a pump source (1130) producing pump light (col. 21, lines 30-65) propagating to the erbium fiber via the coupler (col. 21, lines 30-65). Wysocki further discloses that the mean wavelength is a function of temperature and power of the pump source and that the forward amplified spontaneous emission light propagates away from the pump source and towards the pump source (col. 11, line 50 – col. 12, line 32). In regards to the mirror, the ends of the fiber are polished to provide a reflector surface to reflect backward amplified spontaneous emission and forward amplified spontaneous emission (col. 12, lines 18-39). An optical isolator (1120) coupled to the 2nd end of the EDF. “The pump power and the pump wavelength of the pump source are selected so as to minimize the sum of the intrinsic temperature dependence of the active medium, the pump power dependence of the mean wavelength and the pump wavelength dependence of the mean wavelength” (col. 4, lines 37-40).

With respect to claim 2, Wysocki teaches that selecting the pump power and the pump wavelength of the pump source is a way to minimize the total variation of the

Art Unit: 2828

mean wavelength with respect to temperature of the fiber. (col. 5, lines 25-28)

With respect to claim 3, Wysocki disclosed a method where he uses a differential equation to minimize the total variation of the mean wavelength with respect to temperature of the fiber. This equation will be referred to as the governing equation (col. 10, lines 24-28).

With respect to claim 8, Wysocki discloses everything claimed as applied above, in addition of temperature variations of the erbium-doped fiber are reduced (col. 14, line 65 to col. 15, line 24)

With respect to claim 9, Wysocki discloses a variation in the mean wavelength due to temperature variations are estimated (col. 15, lines 1-10).

With respect to claim 12, Wysocki teaches that the optical fiber has a small signal absorption (col. 11, lines 27-28). Additionally, Wysocki states that an exact absorption value is dependent on the wavelength.

With respect to claim 16, Wysocki fails to disclose the polarization dependent loss, the structure of the reference is substantially identical to that of the claims, claimed properties or functions are presumed to be inherent (MPEP 2112.01).

With respect to claim 34, Wysocki discloses an active and low-power laser

Art Unit: 2828

stabilization comprising of a superfluorescent fiber source having a first end (112), second end (114), a fiber length (col. 8, line 34), an optical coupler (1524), and a pump source (1130) producing pump light ((col. 12, lines 6-7) propagating to the erbium fiber via the coupler (col. 24, lines 33-34). Wysocki further discloses that the mean wavelength is a function of temperature and power of the pump source and that the forward amplified spontaneous emission light propagates away from the pump source of toward the pump source (col. 11, line 50 to col. 12, line 32). In regards to the mirror, the ends of the fiber are polished to provide a reflective surface to reflect backward amplified spontaneous emission and forward amplified spontaneous emission (col. 12, lines 28-39). The pump power and the pump wavelength of the pump source are selected so as to minimize the sum of the intrinsic temperature dependence of the active medium, the pump power dependence of the mean wavelength and the pump wavelength dependence of the mean wavelength" (col. 4, lines 37-40).

With respect to claims 35 and 36, Wysocki teaches that the mean wavelength is selected by reducing and accounting for variations in the temperature of the erbium-doped fiber (col. 5, lines 25-28).

Claims 17-18, 22-23, and 25-26 are rejected under 35 U.S.C 102(b) as being anticipated by Fidric et al. (5,313,480).

With respect to claim 17 Fidric disclosed a method to an estimated mean wavelength of a superfluorescent fiber source, where the method comprising:
providing an SFS having an actual mean wavelength, the SFS comprising an erbium doped

Art Unit: 2828

fiber (50) having a temperature and a pump source (12) (col. 3, line 42);
configuring and obtaining the SFS such that the wavelength has a dependence on the temperature of the EDF(col. 8, lines 41-53), measuring the temperature of the EDF (col. 9, lines 10-12), and calculating the estimated wavelength using the measured temperature of the EDF and the dependence of the wavelength on the temperature of the EDF (col. 8-col. 9).

With respect to claim 18, Fidric discloses a pump source (12) with temperature and a drive input current (col. 6, line 44). The step of configuring the SFS comprises: controlling the temperature of the pump source (col. 8, line 34), and controlling the input current of the pump source (col. 7, line 35-38). While reducing the polarization dependent losses is not specifically disclose, the structure of the reference is substantially identical to that of the claims, claimed properties or functions are presumed to be inherent (MPEP 2112.01).

With respect to claim 22, Fidric discloses everything claimed, as applied above, in addition, the SFS has a double pass configuration (col. 4, line 10).

With respect to claim 23, Fidric teaches that variations in the mean wavelength is a function of the temperature of the fiber (col. 8, lines 39-41)

With respect to claims 25 and 26, Fidric teaches measuring the temperature of the EDF comprises measuring an ambient temperature and measuring that the temperature of the EDF is approximately equivalent to the measured ambient temperature and obtaining the dependence of

Art Unit: 2828

the actual mean wavelength on the temperature of the EDF comprises measuring the dependence of the actual mean wavelength on the temperature of the EDF (col. 9, lines 5-20).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wysocki et al. (5,177,562) in view of Fidric et al. (5,313,480).

With respect to claim 5, Wysocki discloses an active and low-power laser stabilization comprising of a superfluorescent fiber source with the exception to specifically indicate how to reduce the influence of the pump light wavelength on the stability of the mean wavelength comprises reducing variations of the temperature of the pump source. However, reducing the influence of the pump light wavelength on the stability of the mean wavelength comprises reducing variations of the temperature of the pump source is well taught by Fidric (col 8, lines 40-53). It would have been obvious to one of ordinary skill in the art at the time the invention was made to reduce the influence of the pump light wavelength on the stability of the mean wavelength comprises reducing variations of the temperature of the pump source to stabilize the

Art Unit: 2828

laser diode temperature.

Claims 10-11, 28-32, and 37-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wysocki et al. (5,177,562) in view of Ang et al. (6,144,788) and further in view of Wagener et al. (5,875,203).

With respect to claims 10-11, 28, 29, and 37-38, Wysocki discloses an active and low-power laser stabilization comprising of a superfluorescent fiber source. Wysocki discloses everything except to specifically indicate the stability wavelength value during a specific period of time. However, Ang discloses, “ the wavelength stability is 2 ppm. Grating (40) at the output of pump (28) improves wavelength stability over time by ten times” (col. 6, lines 21-23). Figure 12 (Ang) shows the wavelength value remains constant for a period of 78 hours. In other word, time is not a factor. Ang failed to discloses an approximant ± 0.5 ppm. However, Wagener teaches that sources show an improvement in mean wavelength stability by an order of magnitude or more over present sources. Base on those two references, It would have been obvious to one of ordinary skill in the art at the time the invention was made to realize that one can achieve an approximate 0.5 ppm over a period of time of at least one hour or 17 hours to improve deviation.

With respect to claim 30, in conjunction with the rejection above, using Wysocki, Ang, and Wagener as references, in addition Wysocki further disclosed a SFS with a double pass configuration (Wysocki, col. 12, lines 7-10).

Art Unit: 2828

With respect to claim 31, in conjunction with the rejection above, using Wysocki, Ang, and Wagener as references, in addition Wysocki further discloses a SFS comprising an erbium-doped fiber sources having temperature (Wysocki, col. 8, line 11).

With respect to claim 32, in conjunction with the rejection above, using Wysocki, Ang, and Wagener as references, in addition Wysocki further discloses the “governing equation”, which is to minimize the total variation of the mean wavelength with respect to temperature of the fiber. (Wysocki, col. 10, lines 24-27).

Claims 14 is rejected under 35 U.S.C 103(a) as being unpatentable over Wysocki et al. (5,177,562) in view of Falquier et al. (6,429,965)

With respect to claim 14, Wysocki discloses an active and low-power laser stabilization comprising of a superfluorescent fiber source with the exception of coupler comprises of a wavelength division multiplexer. However a coupler comprises of a wavelength division multiplexer is well know in the art as taught by Falquier (col. 8, line 29). Base on those two references, It would have been obvious to one of ordinary skill in the art at the time the invention was made to include a WDM coupler for the purpose of transmitting together, and separating again the optical signals with different wavelengths.

Claims 15 is rejected under 35 U.S.C 103(a) as being unpatentable over by Wysocki et al. (5,177,562) in view of Falquier et al. (6,429,965) and further in view of Tsukitani et al. (6,404,950).

With respect to claim 15, Wysocki and Falquier disclose everything claimed as applied above, in addition a WDM coupler is provided (see claim 14). Wysocki and Falquier are different from the claimed invention in that the PDL is not disclosed. However, Tsukitani stated that the WDM coupler (52) preferably has a polarization-dependent loss of 0.2 dB or less (col. 13, lines 39-40). Base on those two references, It would have been obvious to one of ordinary skill in the art at the time the invention was made to ensure that the PDL was less than 0.01 decibel to have less noise in the signal.

Claim 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wysocki et al. (5,177,562) in view of Ang et al. (6,144,788) in view of Wagener et al. (5,875,203) and further in view of Falquier et al. (6,429,965).

With respect to claim 33, in conjunction with the rejection above, using Wysocki, Ang, Wagener, and Falquier as references, in addition Wysocki further discloses that the thermal can be shift in any temperature with the exception of disclosing a specific range of temperature for the fiber. However, the temperature of the fiber can be control to approximately ± 0.5 degree celsius is well known in the art as taught by Falquier (col. 14, lines 3-4). Base on those references, It would have been obvious to one of ordinary skill in the art at the time the invention was made to control the temperature of the EDF to be stable within a range to provide a predetermined stability of the SFS mean wavelength.

Claims 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fidric et al. (5,313,480) in view of Ang et al. (6,144,788) and further in view of Wagener et al. (5,875,203).

With respect to claims 19 and 20, Fidric discloses a stabilization apparatus and method

for an SFS. Fidric discloses everything except to specifically indicate the stability wavelength value during a specific period of time. However, Ang discloses, “ the wavelength stability is 2 ppm. Grating (40) at the output of pump (28) improves wavelength stability over time by ten times” (col. 6, lines 21-23). Figure 12 shows the wavelength value remains constant for a period of 78 hours. In other word, time is not a factor. Ang failed to discloses an approximant ± 0.5 ppm. However, Wagener teaches that sources show an improvement in mean wavelength stability by an order of magnitude or more over present sources. Base on those three references, It would have been obvious to one of ordinary skill in the art at the time the invention was made to realize that one can achieve an approximant 0.5 ppm over a period of time of at least one hour or 17 hours to improve deviation.

Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Fidric et al. (5,313,480) in view of Falquier et al. (6,429,965).

With respect to claim 24, Fidric teaches that the temperature of the fiber can be control using a peltier or a thermo-electric cooler (col. 4, lines 36-41) with the exception of a specific range. However, the temperature of the fiber can be control to approximately ± 0.5 degree celsius is well known in the art as taught by Falquier (col. 14, lines 3-4). Base on those two references, It would have been obvious to one of ordinary skill in the art at the time the invention was made to control the temperature of the EDF to be stable within a range to provide a predetermined stability of the SFS mean wavelength.

Claim Objections

Claims 4 and 6 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

Claims 13, 21, and 27 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter: the prior art of record, taken alone or in combination, fails to disclose or render obvious, optimizing the length of the EDF comprises selecting the length to compromise between reduction of the dependence of the mean wavelength on the pump light power and reduction of the contribution of the forward ASE light to the output light, reducing the influence of the pump light wavelength on the stability of the mean wavelength comprises tuning the pump source to a wavelength at which a first-order dependence of the mean wavelength on the pump light wavelength is small or substantially zero, having a temperature and a laser diode current, whereby the temperature is controllable to be stable within approximately ± 0.01 degree Celsius and the laser diode current is controllable to be, calculating a best-fit straight line of the actual mean wavelength to the temperature of the EDF, and obtaining the dependence of the actual mean wavelength on the temperature of the EDF comprises obtaining the dependence of the actual mean wavelength on the temperature of the EDF from another source in combination with the rest of the limitations of claim.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.


Lin (US Pat. 6,567,438) discloses an active and low-power laser stabilization system comprising of a superfluorescent fiber source with a two ends erbium-doped fiber source. However, Lin (US pat. 6,567,438) fails to disclose a wavelength of the pump light dependent on the temperature of the pump source and dependent on the power of the pump light.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ernest Unelus whose telephone number is 0000000000. The examiner can normally be reached on 9:00am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Minsun Harvey can be reached on 571-272-1835. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

E.U


ZANDRA V. SMITH
PRIMARY EXAMINER